

Appendix A - Schedule of Whistleblowing (January 2023 to December 2023)

Date	Area	Investigated / Referred	Outcome
January 2023	Director of Adults - Z209 Safeguarding/ poor practice & contractor bribery concerns raised.	Preliminary investigation performed by Internal Audit, including liaison with service & external agencies. Further investigative work performed by service.	Service to continue with contract monitoring arrangements and liaison with multi agencies.
January 2023	Director of Adults - Z210 Concerns raised of bullying.	Referred to Service & HR	Additional training provided within the service and communication/ awareness 'see it, say it' issued within the directorate.
January 2023	Director or Neighbourhoods and Housing - Z211 Concerns raised of breach of confidence	Referred to Service	Training provided to individuals concerned.
July 2023	Director of Adults & Director of Neighbourhoods & Housing - Z214 Concerns raised in relation to safeguarding (external parties) and lack of action by authority.	Referred to Service	Investigated by the service (Neighbourhoods in liaison with ASC) support/ intervention provided
October 2023	Director of Finance - Z213	Investigated by Internal Audit as part of audit performed.	Investigated by Internal Audit noncompliance unsubstantiated. Arrangements in place to maintain service provision.

	Concerns raised regarding compliance with regulatory conditions and sustainability of service.		
November 2023	Director of Regeneration - Z215 Allegations of misappropriation of funds & favouritism	Under investigation by Internal Audit	Under investigation